

# On the Iwasawa lambda invariant of an imaginary abelian field of conductor $3p^{n+1}$

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## Abstract

Let  $p$  be an odd prime number with  $p \neq 3$ , and  $K = \mathbf{Q}(\cos(2\pi/p), \zeta_3)$ . Let  $K_n$  be the  $n$ -th layer of the cyclotomic  $\mathbf{Z}_p$ -extension over  $K$ , and  $\lambda_n$  the Iwasawa lambda invariant of the cyclotomic  $\mathbf{Z}_3$ -extension over  $K_n$ . By a theorem of Friedman, it is known that  $\lambda_n$  is stable for sufficiently large  $n$ . We prove that when  $p \leq 599$ , we have  $\lambda_n = \lambda_0$  for all  $n \geq 1$  with the help of computer. Further, for these  $p$ , we calculate the invariant  $\lambda_0$ .

## 1 Introduction

For a number field  $N$ , let  $\lambda_N$  be the Iwasawa lambda invariant of the ideal class group of the cyclotomic  $\mathbf{Z}_3$ -extension  $N^{(3)}/N$ . Here, for a prime number  $\ell$ ,  $\mathbf{Z}_\ell$  denotes the ring of  $\ell$ -adic integers. Let  $p$  be a prime number with  $p \neq 3$ . We put  $\delta = 1$  or  $0$  according as  $p = 2$  or not. Let  $K = \mathbf{Q}(\cos(2\pi/p^{1+\delta}), \zeta_3)$ , and for an integer  $n \geq 0$ , let  $K_n$  be the  $n$ -th layer of the cyclotomic  $\mathbf{Z}_p$ -extension over  $K$  with  $K_0 = K$ . Namely, we have

$$K_n = \mathbf{Q}(\cos(2\pi/p^{n+1+\delta}), \zeta_3) \quad \text{and} \quad K_n^+ = \mathbf{Q}(\cos(2\pi/p^{n+1+\delta})).$$

Here,  $N^+$  denotes the maximal real subfield of an imaginary abelian field  $N$ . We put  $\lambda_n = \lambda_{K_n}$ ,  $\lambda_n^+ = \lambda_{K_n^+}$  and  $\lambda_n^- = \lambda_n - \lambda_n^+ (\geq 0)$ . It is conjectured that  $\lambda_n^+ = 0$  (Greenberg's conjecture). It is known that  $\lambda_{n+1}^- \geq \lambda_n^-$  and  $\lambda_{n+1}^+ \geq \lambda_n^+$ . By a theorem of Friedman [1], the invariant  $\lambda_n = \lambda_n^+ + \lambda_n^-$  is stable for sufficiently large  $n$ . Recently, we gave in [8] an explicit constant  $\mathbf{m}_p$  such that  $\lambda_n^-$  is stable for all  $n \geq \mathbf{m}_p$  using ideas/technique of Horie [5, 6] and some results of cyclotomic Iwasawa theory. Applying this result, we compute the invariant  $\lambda_n$  for all  $p \leq 599$  and all  $n$  with the help of computer.

**Theorem 1** *Let  $p$  be a prime number with  $5 \leq p \leq 599$ . Then we have  $\lambda_n = \lambda_0$  for all  $n \geq 0$ .*

The condition  $\lambda_n = \lambda_0$  holds if and only if  $\lambda_n^- = \lambda_0^-$  and  $\lambda_n^+ = \lambda_0^+$ . It is known that

$$0 \leq \lambda_0^+ \leq \lambda_0^- \quad \text{and} \quad 0 \leq \lambda_{n+1}^+ - \lambda_n^+ \leq \lambda_{n+1}^- - \lambda_n^- \quad (1)$$

for  $n \geq 0$  (see Greenberg [4, Proposition 1]). Hence the essential part of Theorem 1 is the assertion that  $\lambda_n^- = \lambda_0^-$  for all  $n$ . Further, we compute the invariants  $\lambda_0^+$  and  $\lambda_0^-$  for  $5 \leq p \leq 599$ . Precise results for  $\lambda_0^-$ , Proposition 1 and Table 1, are given in Section 3 and at the end of this paper, respectively. We show that  $\lambda_0^+ = 0$  (Proposition 2) and hence obtain the following assertion from Theorem 1.

**Theorem 2** *Let  $p$  be a prime with  $5 \leq p \leq 599$ . Then we have  $\lambda_n^+ = 0$  for all  $n \geq 0$ .*

For the case  $p = 2$ , we show the following

**Theorem 3** *Let  $p = 2$ . Then  $\lambda_n = 2$  for all  $n \geq 3$  and  $\lambda_2 = \lambda_1 = \lambda_0 = 0$ . Further,  $\lambda_n^+ = 0$  for all  $n \geq 0$ .*

**Remark** Let  $p$  be an odd prime number. Let  $L_n$  be the  $n$ -th layer of the cyclotomic  $\mathbf{Z}_p$ -extension over  $\mathbf{Q}(\cos(2\pi/p), \zeta_4)$ . Let  $\lambda_n$  be the lambda invariant of the cyclotomic  $\mathbf{Z}_2$ -extension over  $L_n$ . Using [9, Theorem 2], it is shown in [7] that when  $p \leq 509$ ,  $\lambda_n = \lambda_0$  for all  $n \geq 0$ .

## 2 Lemmas on lambda invariant

First, we introduce some standard notation. Let  $G$  be a finite abelian group and  $\chi$  a  $\bar{\mathbf{Q}}_3$ -valued character of  $G$  of (degree one), where  $\bar{\mathbf{Q}}_3$  is a fixed algebraic closure of the 3-adic rationals  $\mathbf{Q}_3$ . Let  $\tilde{\chi}$  be the irreducible character of  $G$  over  $\mathbf{Q}_3$  associated to  $\chi$ , and  $e_{\tilde{\chi}}$  the idempotent of the group ring  $\mathbf{Q}_3[G]$  associated to  $\tilde{\chi}$ . For a module  $M$  over  $\mathbf{Q}_3[G]$ , we denote by  $M(\chi) = e_{\tilde{\chi}}M$  the  $\chi$ -component of  $M$ . We have a canonical decomposition

$$M = \bigoplus_x M(\chi)$$

where  $\chi$  runs over a complete set of representatives of the  $\mathbf{Q}_3$ -conjugacy classes of the  $\bar{\mathbf{Q}}_3$ -valued characters of  $G$ . Denote by  $\mathbf{Q}_3(\chi)$  the subfield of  $\bar{\mathbf{Q}}_3$  generated by the values of  $\chi$  over  $\mathbf{Q}_3$ . Then the  $\chi$ -component  $M(\chi)$  is naturally regarded as a vector space over  $\mathbf{Q}_3(\chi)$ .

Let  $K_n^{(3)}/K_n$  be the cyclotomic  $\mathbf{Z}_3$ -extension, and  $K_{n,j}$  the  $j$ -th layer of  $K_n^{(3)}/K_n$  for  $j \geq 0$ . Let  $A_{n,j}$  be the 3-part of the ideal class group of  $K_{n,j}$ . Denote by  $X_n$  the projective limit of  $A_{n,j}$  with respect to the relative norms  $K_{n,j+1} \rightarrow K_{n,j}$  with  $j \geq 0$ . We can naturally regard  $A_{n-1,j}$  as a subgroup of  $A_{n,j}$  since  $K_{n,j}/K_{n-1,j}$  is a cyclic extension of degree  $p \neq 3$  and  $A_{n-1,j}$  is the 3-part of the class group. Actually, it is a direct summand of  $A_{n,j}$  (cf. Washington [20, Lemma 16.15]). It follows that  $X_{n-1}$  is a direct summand of  $X_n$ . We put  $Y_n = X_n/X_{n-1}$ . Let  $\tilde{X}_n = X_n \otimes \mathbf{Q}_3$  and  $\tilde{Y}_n = Y_n \otimes \mathbf{Q}_3$  be the tensor products over  $\mathbf{Z}_3$ . By the action of the complex conjugation  $J$ , these vector spaces over  $\mathbf{Q}_3$  are decomposed as

$$\tilde{X}_n = \tilde{X}_n^+ \oplus \tilde{X}_n^- \quad \text{and} \quad \tilde{Y}_n = \tilde{Y}_n^+ \oplus \tilde{Y}_n^-.$$

Then the invariants  $\lambda_n^\pm$  and  $\lambda_n^\pm - \lambda_{n-1}^\pm$  are nothing but the dimensions of the vector spaces  $\tilde{X}_n^\pm$  and  $\tilde{Y}_n^\pm$  over  $\mathbf{Q}_3$ , respectively. We put  $G_n = \text{Gal}(K_n/\mathbf{Q})$ ,  $G_n^+ = \text{Gal}(K_n^+/\mathbf{Q})$  and  $W = \text{Gal}(\mathbf{Q}(\zeta_3)/\mathbf{Q})$ . We can naturally regard  $\tilde{X}_n$  (resp.  $\tilde{X}_n^+$ ) as a module over  $\mathbf{Q}_3[G_n]$  (resp.  $\mathbf{Q}_3[G_n^+]$ ). Let  $\omega$  be the character of  $W$  representing the Galois action on  $\zeta_3$ . For a  $\mathbf{Q}_3$ -valued character  $\chi$  of  $G_n^+$ , denote by  $\chi^* = \omega \times \chi^{-1}$  the character of  $G_n = W \times G_n^+$ , which is often called the dual of  $\chi$ . Let  $\lambda_{\chi^*}$  and  $\lambda_\chi$  be the dimensions of the vector spaces  $\tilde{X}_n(\chi^*)$  and  $\tilde{X}_n^+(\chi)$  over  $\mathbf{Q}_3(\chi^*) = \mathbf{Q}_3(\chi)$ , respectively. The reflection theorem [4, Proposition 1] asserts that

$$\lambda_\chi \leq \lambda_{\chi^*}. \quad (2)$$

Let  $d_\chi$  be the order of  $\chi$ , and put  $d'_\chi = [\mathbf{Q}_3(\chi) : \mathbf{Q}_3]$ . When  $n \geq 1$ , we have canonical decompositions

$$\tilde{Y}_n^- = \bigoplus_{\chi} \tilde{X}_n(\chi^*) \quad \text{and} \quad \tilde{Y}_n^+ = \bigoplus_{\chi} \tilde{X}_n^+(\chi),$$

where  $\chi$  runs over a complete set of representatives of the  $\mathbf{Q}_3$ -conjugacy classes of the  $\bar{\mathbf{Q}}_3$ -valued characters of  $G_n^+$  with  $p^n | d_\chi$ . It follows that

$$\lambda_n^- - \lambda_{n-1}^- = \sum_{\chi} d'_\chi \cdot \lambda_{\chi^*} \quad \text{and} \quad \lambda_n^+ - \lambda_{n-1}^+ = \sum_{\chi} d'_\chi \cdot \lambda_\chi. \quad (3)$$

Similarly, we have

$$\lambda_0^- = \sum_{\chi} d'_{\chi} \cdot \lambda_{\chi^*} \quad \text{and} \quad \lambda_0^+ = \sum_{\chi} d'_{\chi} \cdot \lambda_{\chi} \quad (4)$$

where  $\chi$  runs over a complete set of representatives of the  $\mathbf{Q}_3$ -conjugacy classes of the  $\bar{\mathbf{Q}}_3$ -valued characters of  $G_0^+$ . The inequalities (1) are immediate consequences of (2), (3) and (4).

We can naturally regard characters of the Galois groups  $W$  and  $G_n^+$  as primitive Dirichlet characters. Then the character  $\omega$  of  $W$  is the Teichmüller character of conductor 3, and the above  $\chi$ 's are even Dirichlet characters of conductor  $p^{n+1+\delta}$ . For such a character  $\chi$ , let

$$B_{1,\chi\omega} = \frac{1}{3p^{n+1+\delta}} \sum_{a=1}^{3p^{n+1+\delta}} a\chi\omega(a)$$

be the generalized Bernoulli number. Here,  $a$  runs over the integers with  $1 \leq a \leq 3p^{n+1+\delta}$  relatively prime to  $3p$ .

Let  $\mathcal{O}_{\chi}$  be the ring of integers of  $\mathbf{Q}_3(\chi)$ . Iwasawa constructed a power series  $g_{\chi}(T)$  in  $\mathcal{O}_{\chi}[[T]]$  associated to the 3-adic  $L$ -function  $L_3(s, \chi)$  by

$$g_{\chi}((1 + 3p^{1+\delta})^s - 1) = L_3(s, \chi). \quad (5)$$

(See Chapter 7 of [20].) By the Mazur-Wiles theorem ([16]),  $\lambda_{\chi^*}$  equals the lambda invariant of the power series  $g_{\chi}$ . In particular,  $\lambda_{\chi^*} = 0$  if and only if

$$g_{\chi}(0) = L_3(0, \chi) = -(1 - (\chi\omega)(3))B_{1,\chi\omega} = -B_{1,\chi\omega}$$

is a 3-adic unit. Thus we obtain the following:

**Lemma 1** *Let  $n \geq 0$  be an integer. For a  $\bar{\mathbf{Q}}_3$ -valued even Dirichlet character  $\chi$  of conductor  $p^{n+1+\delta}$ , we have  $\lambda_{\chi^*} = 0$  if  $B_{1,\chi\omega}$  is relatively prime to 3.*

In this paragraph, let  $p \geq 5$ . We put  $n_0 = \text{ord}_p(3^{p-1} - 1)$ . Let  $\varpi_p = 1$  when 3 is a primitive root modulo  $p^2$ , and let

$$\varpi_p = \left( p - 1 - \left[ \frac{p}{3} \right] \right) \cdot p^{n_0-1},$$

otherwise. Here,  $[x]$  denotes the largest integer  $\leq x$ . We put

$$\mathbf{m}_p = n_0 + \left\lceil \frac{\phi(p-1) \log(3(p-1)\varpi_p)}{\log p} \right\rceil,$$

where  $\phi(*)$  is the Euler function. The following assertion is a special case of the explicit version of Friedman's theorem given in Theorem 2 and Lemma 1 of [8].

**Lemma 2** *Let  $p \geq 5$ . When  $n \geq \mathbf{m}_p$ , we have  $\lambda_{\chi^*} = 0$  for all characters  $\chi$  of  $G_n^+$  with  $p^n | d_\chi$ .*

Now, from (3), we obtain the following

**Lemma 3** *Let  $p \geq 5$ . Then we have  $\lambda_n^- = \lambda_{\mathbf{m}_{p-1}}^-$  for any integer  $n \geq \mathbf{m}_p$ .*

In the rest of this section,  $F$  denotes a totally real number field. Then the invariant  $\lambda_F$  is conjectured to be 0. Let  $A_j = A_j(F)$  be the 3-part of the class group of the  $j$ -th layer of the cyclotomic  $\mathbf{Z}_3$ -extension  $F^{(3)}/F$ . We assume that the prime ideals of  $F$  over 3 are fully ramified in  $F^{(3)}/F$ . The following two lemmas are known to specialists. The first one is a special case of Nakayama's lemma. See for example, Fukuda [2, Theorem 1].

**Lemma 4** *If  $|A_j(F)| = |A_{j+1}(F)|$  for some  $j$ , then  $\lambda_F = 0$ .*

Let  $M/F^{(3)}$  be the maximal unramified pro-3 abelian extension, and  $X = X(F) = \text{Gal}(M/F^{(3)})$ . We fix a topological generator  $\gamma_0$  of  $\text{Gal}(F^{(3)}/F)$ , and consider  $X$  as a module over the power series ring  $\Lambda = \mathbf{Z}_3[[T]]$  in the usual manner with the correspondence  $\gamma_0 \leftrightarrow 1 + T$ . Denote by  $f(T) = f_F(T)$  the characteristic polynomial of the torsion  $\Lambda$ -module  $X$ . By definition, we have  $\lambda_F = \deg f(T)$ . For each  $j \geq 0$ , let  $\nu_j = ((1 + T)^{3^j} - 1)/T$ . When  $F$  is a real abelian field, it is known that  $f(T)$  is relatively prime to  $\nu_j$  for all  $j$  by a theorem of Brumer (see pp. 256-266 of Greenberg [3]). Let  $v_3(*)$  be the additive valuation on  $\bar{\mathbf{Q}}_3$  normalized so that  $v_3(3) = 1$ .

**Lemma 5** *Assume further that  $f = f_F(T)$  is relatively prime to  $\nu_j$  for all  $j$ . If  $\lambda_F = \lambda \geq 1$ , then*

$$v_3(|A_j|/|A_0|) \geq \begin{cases} 3^j - 1 & \text{for } 0 \leq j \leq j_\lambda, \\ 3^{j_\lambda} - 1 + \lambda(j - j_\lambda) & \text{for } j > j_\lambda, \end{cases}$$

where  $j_\lambda$  is the largest integer  $j'$  satisfying  $\lambda \geq 2 \cdot 3^{j'-1}$ . Further, when  $\lambda = 1$  (resp.  $\geq 2$ ), we have  $v_3(|A_j|/|A_0|) \geq j$  (resp.  $2j$ ).

*Proof.* By [20, Lemma 13.15], there exists a  $\Lambda$ -submodule of  $Y$  of  $X$  such that

$$A_j \simeq X/\nu_j Y$$

for all  $j \geq 0$ . Let  $X_{tor}$  be the maximal  $\mathbf{Z}_3$ -torsion submodule of  $X$ , which is a  $\Lambda$ -submodule of  $X$ . Put  $X' = X/X_{tor}$  and  $Y' = YX_{tor}/X_{tor}$ . Then,  $X'$  and  $Y'$  are free  $\mathbf{Z}_3$ -modules, and the characteristic polynomial of  $Y'$  equals  $f(T) = f_F(T)$ . We have

$$|A_j|/|A_0| = |X/\nu_j Y|/|X/Y| = |Y/\nu_j Y| \geq |Y'/\nu_j Y'|. \quad (6)$$

By a similar argument as in the proof of [14, Lemma 8.6], we see that

$$v_3(|Y'/\nu_j Y'|) = v_3 \left( \prod_{\zeta} f(\zeta - 1) \right) \quad (7)$$

where in the product  $\prod'$ ,  $\zeta$  runs over the nontrivial  $3^j$ -th roots of unity. Let  $\alpha_i$  ( $1 \leq i \leq \lambda$ ) be the roots of  $f(T) = 0$ . Then we have  $v_3(\alpha_i) \geq 1/\lambda$  for all  $i$ . We see from (6) and (7) that

$$\begin{aligned} v_3(|A_j|/|A_0|) &\geq \sum'_{\zeta} \sum_{i=1}^{\lambda} v_3((\zeta - 1) - \alpha_i) \\ &\geq \sum'_{\zeta} \sum_{i=1}^{\lambda} \min\{v_3(\zeta - 1), v_3(\alpha_i)\} \\ &\geq \sum_{j'=1}^j (3^{j'} - 3^{j'-1}) \lambda \min\left\{\frac{1}{2 \cdot 3^{j'-1}}, \frac{1}{\lambda}\right\} \end{aligned} \quad (8)$$

Here, in the sum  $\sum'$ ,  $\zeta$  runs over the nontrivial  $3^j$ -th roots of unity. When  $j > j_{\lambda}$ , we see that the right hand side of (8) equals

$$\sum_{j'=1}^{j_{\lambda}} (3^{j'} - 3^{j'-1}) + \sum_{j'=j_{\lambda}+1}^j \lambda = 3^{j_{\lambda}} - 1 + \lambda(j - j_{\lambda}),$$

and obtain the first assertion in this case. We can show it similarly when  $0 \leq j \leq j_{\lambda}$ .

Let us show the second assertion. First, let  $\lambda \geq 2$ . We have  $3^j - 1 \geq 2j$  for all  $j \geq 0$ . When  $j > j_{\lambda}$ , writing  $j = j_{\lambda} + i$  with  $i > 0$ , we see that

$$3^{j_{\lambda}} - 1 + \lambda(j - j_{\lambda}) - 2j = 3^{j_{\lambda}} - 1 - 2j_{\lambda} + (\lambda - 2)i \geq 0$$

and obtain the assertion in this case. It is shown similarly for the case  $\lambda = 1$ .  
 $\square$

### 3 Computation of $\lambda_0$

#### 3.1 Computation of $\lambda_0^-$

Let  $\chi$  be a  $\overline{\mathbf{Q}}_3$ -valued character of  $G_0^+$ . The power series  $g_\chi(T) = \sum_{i=0}^{\infty} c_{\chi,i} T^i \in \mathcal{O}_\chi[[T]]$  attached to  $L_3(s, \chi)$  by (5) satisfies the congruences

$$g_\chi(T) \equiv -\frac{1}{3^{j+1}p} \sum_{a=1}^{3^{j+1}p} a\chi^*(a)^{-1}(1+T)^{-\gamma_j(a)} \quad (9)$$

modulo  $(1+T)^{3^j} - 1$  for  $j \geq 0$ . (See [20, §7.4].) Here,  $a$  runs over the integers with  $1 \leq a \leq 3^{j+1}p$  and  $(a, 3p) = 1$ , and  $\gamma_j(a)$  is the integer satisfying  $0 \leq \gamma_j(a) < 3^j$  and  $(1+3p)^{\gamma_j(a)} \equiv a$  or  $-a \pmod{3^{j+1}}$  according as  $a \equiv 1$  or  $-1 \pmod{3}$ . By a theorem of Ferrero and Washington [20, Theorem 7.15],  $g_\chi(T)$  is not divisible by 3. Hence, the  $\lambda$ -invariant  $\lambda_{\chi^*}$  of  $g_\chi$  is the smallest integer  $i$  with  $c_{\chi,i} \in \mathcal{O}_\chi^\times$ . Computing the right-hand side of (9) for  $j = 4$ , we obtained this value. Then we get the value  $\lambda_0^-$  by (4). All the pairs  $(p, \lambda_0^-)$  with  $5 \leq p \leq 599$  and  $\lambda_0^- \geq 1$  are given in Table 1 at the end of this paper. We also give in the table the values  $d_\chi$ ,  $d'_\chi$  and  $\lambda_{\chi^*}$  for  $\chi$  with  $\lambda_{\chi^*} \geq 1$ . Further, we have

**Proposition 1** *For those prime numbers with  $5 \leq p \leq 599$  which is not contained in the table, we have  $\lambda_0^- = 0$ .*

#### 3.2 Computation of $\lambda_0^+$

In this section, we prove the following assertion by using a computer.

**Proposition 2** *For all primes  $p$  with  $5 \leq p \leq 599$ , we have  $\lambda_0^+ = 0$ .*

For those primes  $p$  with  $\lambda_0^- = 0$ , we already know  $\lambda_0^+ = 0$  by (1). So it suffices to show  $\lambda_0^+ = 0$  for the primes  $p$  in Table 1. For a real abelian field  $k$ , some authors exploited methods to verify  $\lambda_k = 0$  by reducing cyclotomic units modulo some prime ideals (cf. [13, 10]), where  $\lambda_k$  is, as before, the

Iwasawa  $\lambda$ -invariant of the cyclotomic  $\mathbf{Z}_3$ -extension  $k^{(3)}/k$ . They imposed an assumption that the exponent of  $\text{Gal}(k/\mathbf{Q})$  is two. We explain how to verify  $\lambda_k = 0$  without the assumption.

For a subfield  $k$  of  $K_0^+$ , let  $k_j$  be the  $j$ -th layer of  $k^{(3)}/k$ , and  $A_j = A_j(k)$  the 3-part of the ideal class group of  $k_j$ . For a divisor  $d$  of  $(p-1)/2$ , let  $k(d)$  be the unique subfield of  $K_0^+$  with  $[k(d) : \mathbf{Q}] = d$ . Put  $d_1 = \text{LCM}\{d_\chi \mid \lambda_{\chi^*} \geq 1\}$ . We see that to prove  $\lambda_0^+ = 0$ , it suffices to show  $\lambda_{k(d_1)} = 0$  by (2) and (4).

In some cases, we can prove  $\lambda_{k(d_1)} = 0$  (and hence  $\lambda_0^+ = 0$ ) by showing  $\lambda_k = 0$  for smaller subfields  $k$ . We put  $d_2 = 1$  when  $|A_0(k(d_1))| = 1$ . Otherwise, let  $d_2$  be the smallest divisor of  $d_1$  satisfying  $3 \nmid d_1/d_2$  and  $|A_0(k(d_2))| = |A_0(k(d_1))|$ . We put  $d_3 = 1$  when 3 does not split in  $k(d_1)/\mathbf{Q}$ . Otherwise, let  $d_3$  be the smallest divisor of  $d_1$  such that  $3 \nmid d_1/d_3$  and the primes over 3 does not split in  $k(d_1)/k(d_3)$ .

**Lemma 6** *We have  $\lambda_0^+ = 0$  if  $\lambda_{k(d_2)} = \lambda_{k(d_3)} = 0$ .*

*Proof.* Let  $k$  be a subfield of  $K_0^+$  and  $\mathfrak{q}$  a prime ideal of  $k$  over 3. Noting that the prime ideals over 3 are fully ramified in  $k^{(3)}/k$ , denote by  $\mathfrak{q}_j$  the unique prime ideal of  $k_j$  over  $\mathfrak{q}$ . Let  $k_{j,\mathfrak{q}}$  be the completion of  $k_j$  at  $\mathfrak{q}_j$ , and  $U_{j,\mathfrak{q}}$  the group of principal units of  $k_{j,\mathfrak{q}}$ . For each  $j \geq 0$ , we put

$$\begin{aligned} \mathcal{U}_j(k) &= \left\{ (u_{j,\mathfrak{q}}) \in \prod_{\mathfrak{q}|3} U_{j,\mathfrak{q}} \mid \prod_{\mathfrak{q}|3} \left( \frac{u_{j,\mathfrak{q}}, k_{i,\mathfrak{q}}/k_{j,\mathfrak{q}}}{\mathfrak{q}_j} \right) = 1 \text{ for all } i \geq j \right\}, \\ \mathcal{V}_j(k) &= \prod_{\mathfrak{q}|3} \left( \bigcap_{i \geq j} N_{k_{i,\mathfrak{q}}/k_{j,\mathfrak{q}}} U_{i,\mathfrak{q}} \right) \subseteq \mathcal{U}_j(k), \\ \mathcal{W}_j(k) &= \prod_{\mathfrak{q}|3} \left( \bigcap_{i \geq j} N_{k_{i,\mathfrak{q}}/k_{j,\mathfrak{q}}} k_{i,\mathfrak{q}}^\times \right), \\ \mathcal{Z}_j(k) &= \mathcal{U}_j(k)/\mathcal{V}_j(k), \end{aligned}$$

where  $\mathfrak{q}$  runs over the prime ideals of  $k$  over 3 and  $N_{k_{i,\mathfrak{q}}/k_{j,\mathfrak{q}}}$  denotes the norm map from  $k_{i,\mathfrak{q}}$  to  $k_{j,\mathfrak{q}}$ . Let  $E'_j = E'_j(k)$  be the group of 3-units of  $k_j$ . Here, we say that an element  $x \in k_j^\times$  is a 3-unit when it is relatively prime to  $\wp$  for all prime ideals  $\wp$  with  $\wp \nmid 3$ . Embedding  $E'_j$  into  $\prod_{\mathfrak{q}|3} k_{j,\mathfrak{q}}^\times$  diagonally, denote by  $\mathcal{E}'_j(k)$  the topological closure of  $\mathcal{U}_j(k) \cap E'_j \mathcal{W}_j(k)$ . We see that  $\lambda_k = 0$  if and only if (i) the class group  $A_0(k)$  capitulates in the cyclotomic  $\mathbf{Z}_3$ -extension  $k^{(3)}/k$  and (ii)  $\mathcal{U}_j(k) = \mathcal{V}_j(k) \mathcal{E}'_j(k)$  for some  $j$  from [19, Theorem 1] (combined with Iwasawa [11, Theorem 12] and [3, Corollary]). It suffices to show that these two conditions are satisfied for  $k = k(d_1)$  when  $\lambda_{k(d_2)} = \lambda_{k(d_3)} = 0$ .

First, assume that  $\lambda_{k(d_2)} = 0$ . Then the condition (i) is satisfied for



$k = k(d_2)$ . From the definition of  $d_2$ , we see that the inclusion  $k(d_2) \rightarrow k(d_1)$  induces an isomorphism  $A_0(k(d_2)) \rightarrow A_0(k(d_1))$ . Therefore, the condition (i) is satisfied for  $k = k(d_1)$ .

Next, assume that  $\lambda_{k(d_3)} = 0$ . From the definition of  $d_3$ , we can show that the inclusion  $k(d_3) \rightarrow k(d_1)$  induces an isomorphism  $\mathcal{Z}_j(k(d_3)) \rightarrow \mathcal{Z}_j(k(d_1))$  for each  $j$  using local class field theory, by quite a similar argument as in [18, page 655]. As  $\lambda_{k(d_3)} = 0$ , the condition (ii) is satisfied for  $k = k(d_3)$ . By the above isomorphism and  $E'_j(k(d_3)) \subseteq E'_j(k(d_1))$ , we see that the condition (ii) is satisfied also for  $k = k(d_1)$ .  $\square$

Let  $E_j$  be the group of units of  $k_j$ , and  $C_j$  the group of cyclotomic units of  $k_j$  in the sense of Sinnott [17]. We put  $B_j = B_j(k) = (E_j/C_j)(3)$ , where for a finite abelian group  $A$ ,  $A(3)$  denotes the 3-part of  $A$ . We have  $|A_j| = |B_j|$  by [17, Theorems 4.1, 5.1] since only one prime number ramifies in  $k/\mathbf{Q}$ . In some cases, the group  $B_j$  is easier to handle than  $A_j$ .

Let  $L$  be a set of prime numbers and define the following diagonal map:

$$\phi = \phi_L : E_j \rightarrow X_L = \prod_{l \in L} \prod_{\mathcal{L} | l} (\mathcal{O}_{k_j}/\mathcal{L})^\times \quad (\epsilon \mapsto (\epsilon \bmod \mathcal{L})_{\mathcal{L} | l \in L}),$$

where  $\mathcal{O}_{k_j}$  is the ring of integers of  $k_j$ . If  $L$  satisfies

$$\dim_{\mathbf{F}_3} \phi_L(C_j)/\phi_L(C_j)^3 = \text{rank}_{\mathbf{Z}} E_j,$$

then the map  $\phi$  induces an isomorphism  $B_j \simeq (\phi(E_j)/\phi(C_j))(3)$ . As we have an explicit set of generators of  $C_j$ , we can obtain that of  $\phi(C_j) \bmod X_L^{3^e}$  for any  $e$ . Fixing a basis of  $X_L/X_L^{3^e}$  over  $\mathbf{Z}/3^e$ , if we find that  $\phi(C_j) \bmod X_L^{3^e}$  is generated, for instance, by the vectors

$$(1, *, *, *, *), (0, 1, *, *, *), (0, 0, 3^r, 3^r a, 3^r b), (0, 0, 0, 3^s, 3^s c) \quad (10)$$

for some  $1 \leq r \leq s < e$  and integers  $a, b, c \in \mathbf{Z}$ , then we see that  $B_j$  is isomorphic to a subgroup of  $B'_j = \mathbf{Z}/3^r \oplus \mathbf{Z}/3^s$  and that the value  $|B'_j| = 3^{r+s}$  is an upper bound of  $|B_j| = |A_j|$ . Choosing some  $L$  such that  $|L| \leq 5$  and  $\ell \equiv 1 \pmod{3^{j+1}p}$  for all  $\ell \in L$ , we computed a set of generators of  $\phi(C_j)$  modulo  $X_L^{3^e}$  for some  $e$  in a form similar to (10) by elementary row operation, and obtained an explicit abelian group  $B'_j = B'_j(k)$  containing a subgroup isomorphic to  $B_j$ . We call  $B'_j$  an ‘‘upper bound’’ of  $B_j$ .

Table 4 deals with prime numbers  $p$  such that  $5 \leq p \leq 599$  and  $\lambda_0^- \geq 1$ . In the table, we give the values of  $d_1$ ,  $d_2$  and  $d_3$ , and an upper bound

$B'_j = B'_j(k(d))$  for  $d = d_2, d_3$ . The vector  $(q_{j,1}, \dots, q_{j,r_j})$  in the row  $B'_j$  shows that  $B'_j = \mathbf{Z}/q_{j,1} \oplus \dots \oplus \mathbf{Z}/q_{j,r_j}$ . The symbol (-) in the row  $B'_j$  means that  $B'_j = B'_{j-1}$ .

*Proof of Proposition 2.* By Lemma 6, it is sufficient to check  $\lambda_{k(d_2)} = \lambda_{k(d_3)} = 0$  for all prime numbers  $p$  with  $5 \leq p \leq 599$  and  $\lambda_0^- \geq 1$ .

After Schoof's computation [20, pp. 420-423], Koyama and Yoshino [12] gave the  $l$ -rank of the ideal class group of  $\mathbf{Q}(\zeta_p)^+$  with  $3 \leq p < 10000$  and  $2 \leq l \leq 10000$ . According to their table, there are four prime numbers  $p$  in our cases with  $|A_0(k(d_1))| > 1$ ;  $(p, d_1) = (229, 6), (257, 2), (401, 8),$  and  $(521, 26)$ . For these primes, we checked upper bounds for  $|A_0(k(d_1))|$  by the above method. It turned out that they equal the lower bounds which are obtained from [12]. Thus, we could determine the exact value of  $|A_0(k(d_1))| = |B_0(k(d_1))|$ . By the definition of  $d_2$ , we have  $|A_0(k(d_2))| = |A_0(k(d_1))|$ . For  $p = 229$ , we see that  $|A_2(k(d_2))| \leq 9$  from Table 2 and hence  $\lambda_{k(d_2)} = 0$  by Lemma 5. It is shown similarly for  $p = 257$ . For  $p = 401$  and  $521$ , we see that  $|A_1(k(d_2))| = |A_0(k(d_2))|$  from Table 2 and hence  $\lambda_{k(d_2)} = 0$  by Lemma 4. For the other pairs  $(p, d_1)$ , we have  $k(d_2) = \mathbf{Q}$  as  $|A_0(k(d_1))| = 1$ , and hence  $\lambda_{k(d_2)} = 0$  by [20, Theorem 10.4].

We see that  $\lambda(k(d_3)) = 0$  except for  $p = 271$  and  $523$  from Table 2 and Lemma 5. Let us show the assertion for  $p = 271$  and  $523$ . Let  $X = X(k(d_3))$  and  $f(T)$  the characteristic polynomial of the  $\Lambda$ -module  $X$ . By definition, we have  $\lambda_{k(d_3)} = \deg f(T) (= \lambda)$ . We put

$$G(T) = \prod_{\chi} g_{\chi}(T)$$

where  $\chi$  runs over *all* the  $\bar{\mathbf{Q}}_3$ -valued characters of  $G_0^+$  with  $\lambda_{\chi^*} \geq 1$ . We see that  $G(T) \in \mathbf{Z}_3[[T]]$  since all conjugates over  $\mathbf{Q}_3$  of one  $\chi$  appear in the product. Let  $\gamma_0$  be the topological generator of  $\text{Gal}(k^{(3)}/k) = \text{Gal}(K^{(3)}/K)$  which we fixed before, and choose  $c \in 1 + 3\mathbf{Z}_3$  so that  $\zeta^{\gamma_0} = \zeta^c$  for all  $3^n$ -th roots  $\zeta$  of unity with  $n \geq 1$ . Then it follows that  $f(T)$  divides  $G(c(1+T)^{-1} - 1)$  by the reflection theorem [4, Proposition 1]. We checked that the power series  $g_{\chi}(T)$  for the above  $\chi$  is not divisible by a distinguished polynomial of degree one in  $\mathbf{Z}_3[[T]]$ . Therefore, if  $\lambda > 0$ , we must have  $\lambda \geq 2$ , and hence  $|A_j(k(d_3))| \geq 2j$  for all  $j \geq 0$  by Lemma 5. This contradicts the data given in Table 2.  $\square$

### 3.3 The case $p = 2$

In this subsection, we show the following:

**Proposition 3** *For  $p = 2$ , we have  $\lambda_3^- = 2$ ,  $\lambda_2^- = \lambda_1^- = \lambda_0^- = 0$  and  $\lambda_3^+ = 0$ .*

*Proof.* Let  $n$  be an integer with  $0 \leq n \leq 3$ . For a  $\bar{\mathbf{Q}}_3$ -valued characters  $\chi$  of  $G_n^+$ , we computed the invariant  $\lambda_{\chi^*}$  using (9), and obtained the assertion on  $\lambda_n^-$ . It is known that  $h_{K_3^+} = 1$  (cf. [20, page 421]). Hence, by using [20, Theorem 10.4], we obtain  $\lambda_3^+ = 0$  since 3 does not split in  $K_3^+$ .  $\square$

Our computation in this section was carried out by using gcc on a PC with Intel Core (TM) i7 CPU 950 @ 3.07 GHz and 8GB memory. It took about one week to compute upper bounds for  $B_5(k(d_3))$  and  $B_6(k(d_3))$ . It took about a few hours to compute the others.

## 4 Computation of $\lambda_{\chi^*}$

As in Section 2, let  $\chi$  be an even Dirichlet character of conductor  $p^{n+1+\delta}$  and  $\omega = \omega_3$  be the Teichmüller character of conductor 3. Here,  $\delta = 1$  or 0 according as  $p = 2$  or not. In this section we prove the following proposition and show Theorems 1 and 3.

**Proposition 4** (I) *For a prime  $p$  with  $5 \leq p \leq 599$ , we have  $\lambda_{\chi^*} = 0$  for all  $\chi$  and all  $n \geq 1$ .*

(II) *When  $p = 2$  and  $n \geq 4$ , we have  $\lambda_{\chi^*} = 0$  for all  $\chi$ .*

*Proof of Theorems.* Theorem 1 follows from Proposition 4(II) and (1), (3). Theorem 2 follows from Theorem 1 and Proposition 2. Theorem 3 follows from Propositions 3 and 4(I) and (1), (3).  $\square$

For proving Proposition 4, we make use of Lemma 1. That is, we are going to show that  $B_{1,\chi\omega}$  is prime to 3.

First, we introduce some notation. For an integer  $a$  and  $n \geq 0$ , let  $s_n(a)$  be the integer satisfying

$$s_n(a) \equiv a \pmod{p^{n+1+\delta}} \quad \text{and} \quad 0 \leq s_n(a) < p^{n+1+\delta},$$

and define a function  $f_n(a)$  ( $a \in \mathbf{Z}$ ) by

$$f_n(a) = \begin{cases} 1 & \text{if } p^{n+1+\delta}/3 < s_n(a) < 2p^{n+1+\delta}/3 \\ 0 & \text{otherwise.} \end{cases}$$

It is easily verified that

$$f_n(-a) = f_n(a) \quad (11)$$

holds for an integer  $a$ .

Now we express  $B_{1,\chi\omega}$  by using  $f_n(a)$ .

**Lemma 7** *With the notation above, we have*

$$\frac{\omega(p^{n+1+\delta})^{-1}\chi(3)^{-1}}{2}B_{1,\chi\omega} = \frac{1}{2} \sum_{a \bmod p^{n+1+\delta}} f_n(a)\chi(a). \quad (12)$$

*Proof.* In this proof, we put  $P = p^{n+1+\delta}$ . For an integer  $\hat{a}$ , denote by  $\hat{s}_n(\hat{a})$  the integer satisfying  $\hat{s}_n(\hat{a}) \equiv \hat{a} \pmod{3P}$  and  $0 \leq \hat{s}_n(\hat{a}) < 3P$ . Then, by definition,

$$\frac{1}{2}B_{1,\chi\omega} = \frac{1}{6P} \sum_{\hat{a} \bmod 3P} \hat{s}_n(\hat{a})\chi\omega(\hat{a}). \quad (13)$$

Putting  $\hat{a} = 3a + Pb$ , where  $a$  moves modulo  $P$  and  $b = 0, 1, 2$ , we have

$$\chi\omega(\hat{a}) = \chi(\hat{a})\omega(\hat{a}) = \chi(3a)\omega(Pb) = \omega(P)\chi(3)\omega(b)\chi(a).$$

Hence (13) gives

$$\begin{aligned} \frac{\omega(P)^{-1}\chi(3)^{-1}}{2}B_{1,\chi\omega} &= \frac{1}{6P} \sum_{a \bmod P} \sum_{b=0,1,2} \hat{s}_n(3a + Pb)\omega(b)\chi(a) \\ &= \frac{1}{6P} \sum_{a \bmod P} (\hat{s}_n(3a + P) - \hat{s}_n(3a + 2P))\chi(a). \end{aligned}$$

We can directly obtain (12) from this equation, because it is easy to verify

$$\hat{s}_n(3a + P) - \hat{s}_n(3a + 2P) = -P + 3Pf_n(a)$$

(note that  $\sum_{a \bmod P} \chi(a) = 0$  holds).  $\square$

We first show Proposition 4(II), which is rather short.

*Proof of Proposition 4(II).* Let  $p = 2$  and  $n \geq 4$ . We can rewrite the right hand side of (12) to obtain

$$\frac{\omega(2^{n+2})^{-1}\chi(3)^{-1}}{2}B_{1,\chi\omega} = \sum_{a \equiv 1 \pmod{4}} f_n(a)\chi(a) \quad (14)$$

because every odd integer is congruent modulo  $2^{n+2}$  to  $\pm a$  with  $a \equiv 1 \pmod{4}$  and  $\chi$  is an even character (also recall that (11) holds). Now, assume that  $B_{1,\chi\omega}$  is not prime to 3. Then there is a prime ideal  $\tilde{\mathcal{L}}$  of  $\mathbf{Q}(\zeta_{2^n})$  which lies over 3 and satisfies  $B_{1,\chi\omega} \equiv 0 \pmod{\tilde{\mathcal{L}}}$  (note that  $B_{1,\chi\omega}$  lies in  $\mathbf{Q}(\zeta_{2^n})$  because  $\chi$  is of order  $2^n$ ). Hence, by (14), we have a congruence

$$\sum_{a \equiv 1 \pmod{4}} f_n(a)\chi(a) \equiv 0 \pmod{\tilde{\mathcal{L}}}. \quad (15)$$

Denoting by  $\mathcal{L}$  the prime ideal of  $\mathbf{Q}(\zeta_8)$  lying below  $\tilde{\mathcal{L}}$ , we know that  $\tilde{\mathcal{L}}$  is the only prime ideal over  $\mathcal{L}$ , i.e.  $\mathcal{L}$  remains prime in  $\mathbf{Q}(\zeta_{2^n})$ . So, (15) implies

$$\text{Tr} \left( \sum_{a \equiv 1 \pmod{4}} f_n(a)\chi(a) \right) \equiv 0 \pmod{\mathcal{L}}, \quad (16)$$

where  $\text{Tr}$  denotes the trace map from  $\mathbf{Q}(\zeta_{2^n})$  to  $\mathbf{Q}(\zeta_8)$ . Further, we know that  $\text{Tr}(\chi(a)) = 2^{n-3}\chi(a)$  or 0 according as  $\chi(a)^8 = 1$  or not. Since  $\chi(a)^8 = 1$  if and only if  $a \equiv 1 \pmod{2^{n-1}}$ , we have from (16)

$$\sum_{a \equiv 1 \pmod{2^{n-1}}} f_n(a)\chi(a) \equiv 0 \pmod{\mathcal{L}}. \quad (17)$$

Because integers  $a$  modulo  $2^{n+2}$  satisfying  $a \equiv 1 \pmod{2^{n-1}}$  are represented as  $(1 + 2^{n-1})^k$  for  $0 \leq k \leq 7$ , (17) implies

$$\sum_{k=0}^7 f_n((1 + 2^{n-1})^k)\zeta^k \equiv 0 \pmod{\mathcal{L}}, \quad (18)$$

where  $\zeta = \chi(1 + 2^{n-1})$  is a primitive 8-th root of unity. Thanks to the assumption  $n \geq 4$ , we have  $(1 + 2^{n-1})^k \equiv 1 + k2^{n-1} \pmod{2^{n+2}}$ . Hence we see from the definition of the function  $f_n$  that  $f_n((1 + 2^{n-1})^k) = 1$  if and only if  $k = 3, 4, 5$ . Therefore, the left hand side of (18) is equal to  $\zeta^3 + \zeta^4 + \zeta^5 = -1 - \zeta + \zeta^3$ , which is not 0 modulo  $\mathcal{L}$  (actually  $(-1 - \zeta + \zeta^3)(1 - \zeta + \zeta^3) \equiv 1 \pmod{\mathcal{L}}$ ). This contradicts (18). Hence  $B_{1,\chi\omega}$  must be prime to 3, which

proves Proposition 4(II) by virtue of Lemma 1.  $\square$

Hereafter we assume that  $p$  is an odd prime, and prove Proposition 4(I). By Lemma 3 and (3), it suffices to prove  $\lambda_{\chi^*} = 0$  for  $1 \leq n < \mathbf{m}_p$ .

Let  $n_0 = \text{ord}_p(3^{p-1} - 1)$  as in Section 2. Then an easy calculation shows that, for  $p \leq 599$ ,  $n_0 = 1$  except for  $p = 11$  and when  $p = 11$ ,  $n_0 = 2$ . In the case  $p = 11$  and  $n = 1$ , it is not hard to compute  $B_{1,\chi\omega}$  directly. Concretely, we can compute the norm  $N\left(\frac{1}{2}B_{1,\chi\omega}\right)$  of  $\frac{1}{2}B_{1,\chi\omega}$  for each character  $\chi$ . Here,  $\mathbf{Q}(\chi)$  is the field generated over  $\mathbf{Q}$  by the values of  $\chi$ , and  $N$  denotes the norm map from  $\mathbf{Q}(\chi)$  to  $\mathbf{Q}$ . The value of  $N\left(\frac{1}{2}B_{1,\chi\omega}\right)$  is  $2^{10} \times 89$  and  $20891667283264099631$  (prime number) when the order of  $\chi$  is 11 and 55, respectively. Since both values are prime to 3, Lemma 1 implies that  $\lambda_{\chi^*} = 0$  for any  $\chi$  when  $p = 11$  and  $n = 1$ .

So it turns out from Lemma 1 that, for proving Proposition 4(I), it is sufficient to show

$$B_{1,\chi\omega} \text{ is prime to 3 for } 5 \leq p \leq 599 \text{ and } n_0 \leq n < \mathbf{m}_p \quad (19)$$

for each character  $\chi$ .

Here we rewrite the right hand side of (12). Take a primitive root  $g$  modulo  $p^{n+1}$ , and denote by  $q$  the integer which is prime to 3 and satisfies  $\frac{p-1}{2} = 3^t q$  for some  $t \geq 0$ . We decompose the character  $\chi$  as  $\chi = \gamma\eta\psi_n$ , where  $\psi_n$  has conductor  $p^{n+1}$  and order  $p^n$ , and  $\gamma$  (resp.  $\eta$ ) has conductor  $p$  and order a power of 3 (resp. a divisor of  $q$ ), respectively.

**Lemma 8** *With the notation above, we have*

$$\begin{aligned} & \frac{\omega(p^{n+1})^{-1}\chi(3)^{-1}\chi(g^{i_0})^{-1}}{2} B_{1,\chi\omega} \\ &= \sum_{0 \leq k < qp^n} \sum_{l \bmod 3^t} f_n(g^{i_0+3^t k+2qp^n l}) \gamma(g^{2qp^n l}) \eta(g^{3^t k}) \psi_n(g^{3^t k}) \end{aligned} \quad (20)$$

for any integer  $i_0$ .

*Proof.* In the right hand side of (12), we can put  $a = g^i$ , where  $i$  moves modulo  $(p-1)p^n$  since  $\chi(a) = 0$  when  $a$  is not prime to  $p$ . Hence the left hand side of (20) equals

$$\frac{1}{2} \sum_{i \bmod (p-1)p^n} f_n(g^i) \chi(g^{i-i_0}) = \frac{1}{2} \sum_{i \bmod (p-1)p^n} f_n(g^{i_0+i}) \chi(g^i). \quad (21)$$

Here we put  $i = 3^t k + 2qp^n l$ , where  $k$  and  $l$  moves modulo  $2qp^n$  and  $3^t$ , respectively (note  $p - 1 = 3^t \times 2q$ ). Then (21) equals

$$\frac{1}{2} \sum_{k \bmod 2qp^n} \sum_{l \bmod 3^t} f_n(g^{i_0+3^t k+2qp^n l}) \gamma(g^{2qp^n l}) \eta(g^{3^t k}) \psi_n(g^{3^t k}) \quad (22)$$

because  $\chi = \gamma \eta \psi_n$  and  $\gamma(g^{3^t}) = \eta(g^q) = \psi_n(g^{p^n}) = 1$ . In (22), we see that the terms for  $k$  and  $k + qp^n$  give the same value, because the function  $f_n$  is even (see (11)), the characters  $\eta$ ,  $\psi_n$  are even and  $g^{(p-1)p^n/2} \equiv -1 \pmod{p^{n+1}}$ . Therefore, collecting terms for  $k$  and  $k + qp^n$  in (22), we obtain (20).  $\square$

The following Lemma 9 gives a method for verifying (19).

**Lemma 9** *Assume  $p \geq 5$  and  $n \geq n_0$ , and denote by  $\mathbf{F}_3$  the finite field with 3 elements. If there exists an integer  $i_0$  for which the polynomial*

$$\sum_{v=0}^{qp^{n_0}-1} \left( \sum_{l=0}^{3^t-1} f_n(g^{i_0+3^t p^{n-n_0} v+2qp^n l}) \right) x^v \pmod{3} \quad (23)$$

in  $\mathbf{F}_3[x]$  is prime to  $(x^{qp^{n_0}} - 1)/(x^{qp^{n_0-1}} - 1) \pmod{3}$ , then  $B_{1,\chi\omega}$  is prime to 3 for any even character  $\chi$  of conductor  $p^{n+1}$ .

*Proof.* Assume that  $B_{1,\chi\omega}$  is not prime to 3. This assumption implies that there exists a prime ideal  $\tilde{\mathcal{L}}$  of  $\mathbf{Q}(\zeta_{(p-1)p^n})$  lying over 3 which divides  $B_{1,\chi\omega}$ . Then, by Lemma 8, we have a congruence

$$\sum_{0 \leq k < qp^n} \sum_{l \bmod 3^t} f_n(g^{i_0+3^t k+2qp^n l}) \gamma(g^{2qp^n l}) \eta(g^{3^t k}) \psi_n(g^{3^t k}) \equiv 0 \pmod{\tilde{\mathcal{L}}} \quad (24)$$

for any integer  $i_0$ . Since  $\gamma(g^{2qp^n l})$  is a root of unity of 3-power order and  $\tilde{\mathcal{L}}$  lies over 3, we have  $\gamma(g^{2qp^n l}) \equiv 1 \pmod{\tilde{\mathcal{L}}}$  for any  $l$ . Hence, determining integers  $\tilde{a}_k$  by

$$\tilde{a}_k = \sum_{l \bmod 3^t} f_n(g^{i_0+3^t k+2qp^n l}),$$

we obtain from (24)

$$\sum_{0 \leq k < qp^n} \tilde{a}_k \eta(g^{3^t k}) \psi_n(g^{3^t k}) \equiv 0 \pmod{\tilde{\mathcal{L}}}. \quad (25)$$

Here we note that the left hand side of (25) belongs to the field  $\mathbf{Q}(\zeta_{qp^n})$ . Let  $\mathcal{L}$  be the prime ideal of  $\mathbf{Q}(\zeta_{qp^{n_0}})$  lying below  $\tilde{\mathcal{L}}$ . Then, by the definition of  $n_0$ ,

$\tilde{\mathcal{L}}$  is the only prime ideal lying over  $\mathcal{L}$ , i.e.  $\mathcal{L}$  does not decompose in  $\mathbf{Q}(\zeta_{qp^n})$ . Hence we can conclude from (25) that

$$\text{Tr} \left( \sum_{0 \leq k < qp^n} \tilde{a}_k \eta(g^{3^t k}) \psi_n(g^{3^t k}) \right) \equiv 0 \pmod{\mathcal{L}} \quad (26)$$

holds, where  $\text{Tr}$  denotes the trace map from  $\mathbf{Q}(\zeta_{qp^n})$  to  $\mathbf{Q}(\zeta_{qp^{n_0}})$ . Since  $\psi_n(g^{3^t k})$  is a primitive  $p^n$ -th root of unity,  $\text{Tr}(\psi_n(g^{3^t k})) = 0$  unless  $k$  is divisible by  $p^{n-n_0}$ . Therefore, putting  $k = p^{n-n_0}v$ , we obtain from (26)

$$\sum_{0 \leq v < qp^{n_0}} a_v \eta(g^{3^t p^{n-n_0}v}) \psi_n(g^{3^t p^{n-n_0}v}) \equiv 0 \pmod{\mathcal{L}}, \quad (27)$$

where  $a_v = \tilde{a}_{p^{n-n_0}v}$ . We see that  $\xi = \eta(g^{3^t p^{n-n_0}}) \psi_n(g^{3^t p^{n-n_0}})$  is a primitive  $dp^{n_0}$ -th root of unity for some divisor  $d$  of  $q$ , because  $\eta^q = 1$  and the order of  $\psi_n$  is  $p^n$ . This means that  $\xi$  is a root of the polynomial  $(x^{qp^{n_0}} - 1)/(x^{qp^{n_0-1}} - 1)$ . Therefore, validity of the congruence (27) implies that the two integer-coefficient polynomials  $\sum_{0 \leq v < qp^{n_0}} a_v x^v$  and  $(x^{qp^{n_0}} - 1)/(x^{qp^{n_0-1}} - 1)$  have a non-trivial common factor when they are reduced modulo 3. This contradicts the assumption of Lemma 9. Hence  $B_{1,\chi\omega}$  must be prime to 3, which finishes the proof of Lemma 9.  $\square$

We verified Proposition 4(I) by using Lemma 9, Lemma 1 and a computer. Note that we took the integer  $i_0$  in Lemma 9 as a multiple of  $p - 1$ , i.e. we put  $i_0 = (p - 1)j$  for an integer  $j$ . For given  $p$  and  $n$ , we first take  $j = 0$  and checked if the polynomial (23) is prime to  $(x^{qp^{n_0}} - 1)/(x^{qp^{n_0-1}} - 1) \pmod{3}$ . If they are prime to each other, we are done. If not, we take  $j = 1$  and compute (23)  $\cdots$ . Repeating this process for  $j = 1, 2, \cdots$ , we could find an appropriate  $j$  for every  $p$  and  $n$  in (19), proving Proposition 4(II). Our computation was carried out by using Maple 15 (cf. [15]) on Apple's Mac Pro computer with two 2.4 GHz quad-core Intel Xeon processor and 16GB memory. It took about a week to carry out the whole calculation.

In the above process, long time was needed for computation when  $p$  is large and 3 is not a primitive root for  $p$ . For reference, we show a list of  $p$  with large values of  $\mathbf{m}_p$ , i.e.  $\mathbf{m}_p \geq 400$  (Table 3).

It is notable that the first value  $j = 0$  was valid for our purpose in most cases. We list in Table 4 the positive values of  $j$  that was needed to conclude that  $B_{1,\chi\omega}$  is prime to 3 in the range  $p \leq 599$ .



Table 1: Iwasawa invariants  $\lambda_0^- \geq 1$

| $p$ | $3p$ | $d_\chi$ | $d'_\chi$ | $\lambda_{\chi^*}$ | $\lambda_0^-$ | $p$ | $3p$ | $d_\chi$ | $d'_\chi$ | $\lambda_{\chi^*}$ | $\lambda_0^-$ |
|-----|------|----------|-----------|--------------------|---------------|-----|------|----------|-----------|--------------------|---------------|
| 19  | 57   | 3        | 2         | 2                  |               | 337 | 1011 | 2        | 1         | 2                  |               |
|     |      | 9        | 6         | 2                  | 16            |     |      | 6        | 2         | 2                  | 6             |
| 29  | 87   | 2        | 1         | 1                  | 1             | 353 | 1059 | 2        | 1         | 1                  |               |
| 37  | 111  | 3        | 2         | 2                  |               |     |      | 4        | 2         | 1                  | 3             |
|     |      | 9        | 6         | 2                  | 16            | 379 | 1137 | 3        | 2         | 8                  |               |
| 73  | 219  | 3        | 2         | 2                  |               |     |      | 9        | 6         | 8                  |               |
|     |      | 9        | 6         | 2                  | 16            |     |      | 27       | 18        | 8                  | 208           |
| 109 | 327  | 2        | 1         | 1                  |               | 397 | 1191 | 2        | 1         | 1                  |               |
|     |      | 3        | 2         | 8                  |               |     |      | 3        | 2         | 2                  |               |
|     |      | 6        | 2         | 1                  |               |     |      | 6        | 2         | 1                  |               |
|     |      | 9        | 6         | 8                  |               |     |      | 9        | 6         | 2                  |               |
|     |      | 18       | 6         | 1                  |               |     |      | 18       | 6         | 1                  | 25            |
|     |      | 27       | 18        | 8                  |               | 401 | 1203 | 2        | 1         | 3                  |               |
|     |      | 54       | 18        | 1                  | 235           |     |      | 8        | 2         | 1                  | 5             |
| 113 | 339  | 2        | 1         | 1                  | 1             | 433 | 1299 | 3        | 2         | 8                  |               |
| 127 | 381  | 3        | 2         | 2                  |               |     |      | 9        | 6         | 8                  |               |
|     |      | 9        | 6         | 2                  | 16            |     |      | 27       | 18        | 8                  | 208           |
| 137 | 411  | 2        | 1         | 1                  | 1             | 443 | 1329 | 13       | 3         | 1                  | 3             |
| 163 | 489  | 3        | 2         | 26                 |               | 449 | 1347 | 2        | 1         | 1                  | 1             |
|     |      | 9        | 6         | 26                 |               | 457 | 1371 | 2        | 1         | 1                  |               |
|     |      | 27       | 18        | 26                 |               |     |      | 6        | 2         | 1                  | 3             |
|     |      | 81       | 54        | 26                 | 2080          | 461 | 1383 | 2        | 1         | 1                  | 1             |
| 173 | 519  | 2        | 1         | 1                  | 1             | 487 | 1461 | 3        | 2         | 80                 |               |
| 181 | 543  | 2        | 1         | 2                  |               |     |      | 9        | 6         | 80                 |               |
|     |      | 3        | 2         | 2                  |               |     |      | 27       | 18        | 80                 |               |
|     |      | 6        | 2         | 2                  |               |     |      | 81       | 54        | 80                 |               |
|     |      | 9        | 6         | 2                  |               |     |      | 243      | 162       | 80                 | 19360         |
|     |      | 18       | 6         | 2                  | 34            | 521 | 1563 | 2        | 1         | 1                  |               |
| 199 | 597  | 3        | 2         | 2                  |               |     |      | 26       | 3         | 1                  | 4             |
|     |      | 9        | 6         | 2                  | 16            | 523 | 1569 | 3        | 2         | 2                  |               |
| 229 | 687  | 2        | 1         | 1                  |               |     |      | 9        | 6         | 2                  | 16            |
|     |      | 6        | 2         | 1                  | 3             | 541 | 1623 | 3        | 2         | 8                  |               |
| 257 | 771  | 2        | 1         | 1                  | 1             |     |      | 9        | 6         | 8                  |               |
| 271 | 813  | 3        | 2         | 8                  |               |     |      | 27       | 18        | 8                  | 208           |
|     |      | 9        | 6         | 8                  |               | 577 | 1731 | 3        | 2         | 2                  |               |
|     |      | 27       | 18        | 8                  | 208           |     |      | 8        | 2         | 1                  |               |
| 281 | 843  | 20       | 4         | 1                  | 4             |     |      | 9        | 6         | 2                  |               |
| 307 | 921  | 3        | 2         | 2                  |               |     |      | 24       | 6         | 1                  |               |
|     |      | 9        | 6         | 2                  | 16            |     |      | 72       | 18        | 1                  | 42            |
| 313 | 939  | 26       | 3         | 1                  |               | 599 | 1797 | 13       | 3         | 1                  | 3             |
|     |      | 78       | 9         | 1                  | 12            |     |      |          |           |                    |               |

Table 2:  $\lambda_0^- \geq 1$  and  $\lambda_0^+ = 0$

| $p$ | $3p$ | $d_1$ | $d_2$ | $B'_0(B_0)$ | $B'_1$ | $B'_2$ | $d_3$ | $B'_0$ | $B'_1$  | $B'_2$  | $B'_3$  | $B'_4$ | $B'_5$ | $B'_6$ |
|-----|------|-------|-------|-------------|--------|--------|-------|--------|---------|---------|---------|--------|--------|--------|
| 19  | 57   | 9     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 29  | 87   | 2     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 37  | 111  | 9     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 73  | 219  | 9     | 1     | (1)         |        |        | 9     | (1)    | (3)     | (9)     | (-)     |        |        |        |
| 109 | 327  | 54    | 1     | (1)         |        |        | 54    | (1)    | (3)     | (9)     | (27)    | (81)   | (-)    |        |
| 113 | 339  | 2     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 127 | 381  | 9     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 137 | 411  | 2     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 163 | 489  | 81    | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 173 | 519  | 2     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 181 | 543  | 18    | 1     | (1)         |        |        | 18    | (1)    | (3)     | (9)     | (27)    | (-)    |        |        |
| 199 | 597  | 9     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 229 | 687  | 6     | 6     | (3)         | (9)    | (-)    | 6     | (3)    | (9)     |         |         |        |        |        |
| 257 | 771  | 2     | 2     | (3)         | (-)    |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 271 | 813  | 27    | 1     | (1)         |        |        | 27    | (1)    | (3,3)   | (9,9)   | (27,27) | (-)    |        |        |
| 281 | 843  | 20    | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 307 | 921  | 9     | 1     | (1)         |        |        | 9     | (1)    | (3)     | (9)     |         |        |        |        |
| 313 | 939  | 78    | 1     | (1)         |        |        | 6     | (1)    | (-)     |         |         |        |        |        |
| 337 | 1011 | 6     | 1     | (1)         |        |        | 6     | (1)    | (3)     | (9)     | (-)     |        |        |        |
| 353 | 1059 | 4     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 379 | 1137 | 27    | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 397 | 1191 | 18    | 1     | (1)         |        |        | 18    | (1)    | (3)     | (9)     | (27)    | (81)   | (243)  | (-)    |
| 401 | 1203 | 8     | 8     | (3,3)       | (-)    |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 433 | 1299 | 27    | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 443 | 1329 | 13    | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 449 | 1347 | 2     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 457 | 1371 | 6     | 1     | (1)         |        |        | 6     | (1)    | (3)     | (9)     | (-)     |        |        |        |
| 461 | 1383 | 2     | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 487 | 1461 | 243   | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 521 | 1563 | 26    | 26    | (3,3,3)     | (-)    |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 523 | 1569 | 9     | 1     | (1)         |        |        | 9     | (1)    | (3,3,3) | (3,9,9) | (-)     |        |        |        |
| 541 | 1623 | 27    | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |
| 577 | 1731 | 72    | 1     | (1)         |        |        | 36    | (1)    | (3)     | (9)     | (-)     |        |        |        |
| 599 | 1797 | 13    | 1     | (1)         |        |        | 1     | (1)    | (-)     |         |         |        |        |        |

Table 3: Large values of  $m_p$ 

|       |     |     |     |     |     |     |     |     |     |
|-------|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| $p$   | 383 | 443 | 467 | 479 | 503 | 563 | 577 | 587 | 599 |
| $m_p$ | 403 | 406 | 491 | 503 | 528 | 591 | 405 | 616 | 557 |

Table 4: Positive values of  $j$ 

|       |    |    |   |   |
|-------|----|----|---|---|
| $p$   | 11 | 13 |   |   |
| $m_p$ | 14 | 9  |   |   |
| $n$   | 4  | 2  | 4 | 6 |
| $j$   | 1  | 1  | 1 | 2 |

**Corrigendum** In the proof of [8, Theorem 3], we have written that “... the unit index of  $L_{n,j}$  equals 1 by Conner and Hurrelbrink [1, (13.4), (13.5)].” However, this is slightly incorrect. We have to change “... by Conner and Hurrelbrink ...” to “... by Satz 22 of Hasse’s monograph *Über die Klassenzahl abelscher Zahlkörper*.”

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